

## RC Brown Investment Management plc RTS28 Top 5 Venue Report to 31/12/2021

Annual disclosure of information required by MiFID II



**Class of Instrument** Av Daily Vol Less Than 1C

**Client Type** Professional

**Notification if <1 average trade per business in the previous year**

<b>Top 5 Venues ranked in terms of volume (descending order)</b>	<b>Proportion of volume executed as a percentage of total in that class</b>	<b>Proportion of orders executed as a percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of Aggressive Orders</b>	<b>Percentage of directed orders</b>
Finncap (213800DSWHX26RRA1N75)	24.19%	21.95%	N/A	N/A	N/A
Singer Capital Markets 0.1% (213800ITO7QMNQ9SFG53)	21.54%	21.95%	N/A	N/A	N/A
Cenkos Securities plc 0.1% (213800ALVRC52VN3I821)	20.37%	24.39%	N/A	N/A	N/A
Stifel Securities 0.1% (213800BVEFNZ8UYPKL03)	10.23%	7.32%	N/A	N/A	N/A
Panmure Gordon 0.1% (213800GM8RB7MS4L3Z24)	6.22%	4.88%	N/A	N/A	N/A

**Client Type** Retail

**Notification if <1 average trade per business in the previous year**

<b>Top 5 Venues ranked in terms of volume (descending order)</b>	<b>Proportion of volume executed as a percentage of total in that class</b>	<b>Proportion of orders executed as a percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of Aggressive Orders</b>	<b>Percentage of directed orders</b>
Cenkos Securities plc 0.1% (213800ALVRC52VN3I821)	29.67%	14.81%	N/A	N/A	N/A
Singer Capital Markets 0.1% (213800ITO7QMNQ9SFG53)	21.51%	6.09%	N/A	N/A	N/A
Finncap 0.1% (213800DSWHX26RRA1N75)	19.47%	5.07%	N/A	N/A	N/A
Peel Hunt 0.1% (5493007DWN0R4YBM4C84)	8.94%	26.77%	N/A	N/A	N/A
Winterflood Securities Limited (8BRUP6V1DX3PIG2R0745)	4.94%	39.76%	N/A	N/A	N/A

## RC Brown Investment Management plc RTS28 Top 5 Venue Report to 31/12/2021

Annual disclosure of information required by MiFID II



**Class of Instrument** Av Daily Vol Between 10 & 8C

**Client Type** Professional

**Notification if <1 average trade per business in the previous year**

<b>Top 5 Venues ranked in terms of volume (descending order)</b>	<b>Proportion of volume executed as a percentage of total in that class</b>	<b>Proportion of orders executed as a percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of Aggressive Orders</b>	<b>Percentage of directed orders</b>
Cenkos Securities plc (213800ALVRC52VN3I821)	18.85%	21.97%	N/A	N/A	N/A
Investec Securities (84S0VF8TSMH0T6D4K848)	15.30%	11.36%	N/A	N/A	N/A
Singer Capital Markets 0.1% (213800ITO7QMNQ9SFG53)	10.56%	12.12%	N/A	N/A	N/A
Zeus Capital (213800F6CHS1SEYK1842)	10.55%	9.85%	N/A	N/A	N/A
Finncap 0.1% (213800DSWHX26RRA1N75)	5.53%	6.82%	N/A	N/A	N/A

**Client Type** Retail

**Notification if <1 average trade per business in the previous year**

<b>Top 5 Venues ranked in terms of volume (descending order)</b>	<b>Proportion of volume executed as a percentage of total in that class</b>	<b>Proportion of orders executed as a percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of Aggressive Orders</b>	<b>Percentage of directed orders</b>
Peel Hunt (5493007DWN0R4YBM4C84)	16.39%	23.97%	N/A	N/A	N/A
Cenkos Securities plc 0.1% (213800ALVRC52VN3I821)	13.46%	4.75%	N/A	N/A	N/A
Winterflood Securities Limited (8BRUP6V1DX3PIG2R0745)	12.27%	54.73%	N/A	N/A	N/A
Zeus Capital (213800F6CHS1SEYK1842)	9.32%	2.35%	N/A	N/A	N/A
Investec Securities (84S0VF8TSMH0T6D4K848)	7.73%	2.19%	N/A	N/A	N/A

## RC Brown Investment Management plc RTS28 Top 5 Venue Report to 31/12/2021

Annual disclosure of information required by MiFID II



**Class of Instrument** Av Daily Vol Between 80 & 60C

**Client Type** Professional

**Notification if <1 average trade per business in the previous year**

<b>Top 5 Venues ranked in terms of volume (descending order)</b>	<b>Proportion of volume executed as a percentage of total in that class</b>	<b>Proportion of orders executed as a percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of Aggressive Orders</b>	<b>Percentage of directed orders</b>
Barclays Capital (K9WDOH4D2PYBSLSOB484)	25.62%	31.88%	N/A	N/A	N/A
Stifel Securities (213800BVEFNZ8UYPKL03)	19.08%	13.04%	N/A	N/A	N/A
Numis 0.06% (213800P3F4RT97WDSX47)	18.40%	15.94%	N/A	N/A	N/A
Investec Securities (84S0VF8TSMH0T6D4K848)	9.29%	8.70%	N/A	N/A	N/A
Berenberg (529900ZKF1P898Z2HD69)	7.91%	11.59%	N/A	N/A	N/A

**Client Type** Retail

**Notification if <1 average trade per business in the previous year**

<b>Top 5 Venues ranked in terms of volume (descending order)</b>	<b>Proportion of volume executed as a percentage of total in that class</b>	<b>Proportion of orders executed as a percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of Aggressive Orders</b>	<b>Percentage of directed orders</b>
Winterflood Securities Limited (8BRUP6V1DX3PIG2R0745)	28.84%	70.82%	N/A	N/A	N/A
Peel Hunt (5493007DWN0R4YBM4C84)	27.43%	21.69%	N/A	N/A	N/A
Numis 0.06% (213800P3F4RT97WDSX47)	9.62%	2.36%	N/A	N/A	N/A
Investec Securities (84S0VF8TSMH0T6D4K848)	9.16%	1.94%	N/A	N/A	N/A
Shore Capital (2138009KZO3MK7TV6372)	5.74%	0.14%	N/A	N/A	N/A

## RC Brown Investment Management plc RTS28 Top 5 Venue Report to 31/12/2021

Annual disclosure of information required by MiFID II



**Class of Instrument** Av Daily Vol Between 600 & 2000

**Client Type** Professional

**Notification if <1 average trade per business in the previous year**

<b>Top 5 Venues ranked in terms of volume (descending order)</b>	<b>Proportion of volume executed as a percentage of total in that class</b>	<b>Proportion of orders executed as a percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of Aggressive Orders</b>	<b>Percentage of directed orders</b>
Barclays Capital 0.1% (K9WDOH4D2PYBSLSOB484)	37.20%	40.54%	N/A	N/A	N/A
Numis 0.1% (213800P3F4RT97WDSX47)	14.59%	10.81%	N/A	N/A	N/A
Jefferies 0.1% (S5THZMDUJCTQZBTRVI98)	13.56%	10.81%	N/A	N/A	N/A
Peel Hunt 0.1% (5493007DWN0R4YBM4C84)	12.69%	10.81%	N/A	N/A	N/A
J P Morgan Cazenove (K6Q0W1PS1L1O4IQL9C32)	7.40%	5.41%	N/A	N/A	N/A

**Client Type** Retail

**Notification if <1 average trade per business in the previous year**

<b>Top 5 Venues ranked in terms of volume (descending order)</b>	<b>Proportion of volume executed as a percentage of total in that class</b>	<b>Proportion of orders executed as a percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of Aggressive Orders</b>	<b>Percentage of directed orders</b>
Winterflood Securities Limited (8BRUP6V1DX3PIG2R0745)	45.78%	75.46%	N/A	N/A	N/A
Peel Hunt (5493007DWN0R4YBM4C84)	36.86%	20.84%	N/A	N/A	N/A
Numis 0.06% (213800P3F4RT97WDSX47)	6.93%	1.33%	N/A	N/A	N/A
Barclays Capital (K9WDOH4D2PYBSLSOB484)	2.81%	0.92%	N/A	N/A	N/A
Investec Securities (84S0VF8TSMH0T6D4K848)	2.30%	0.31%	N/A	N/A	N/A

## RC Brown Investment Management plc RTS28 Top 5 Venue Report to 31/12/2021

Annual disclosure of information required by MiFID II



**Class of Instrument** Av Daily Vol Between 2000 & 9000

**Client Type** Professional

**Notification if <1 average trade per business in the previous year**

<b>Top 5 Venues ranked in terms of volume (descending order)</b>	<b>Proportion of volume executed as a percentage of total in that class</b>	<b>Proportion of orders executed as a percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of Aggressive Orders</b>	<b>Percentage of directed orders</b>
Peel Hunt (5493007DWN0R4YBM4C84)	25.18%	26.09%	N/A	N/A	N/A
Zeus Capital (213800F6CHS1SEYK1842)	19.97%	19.57%	N/A	N/A	N/A
Barclays Capital 0.1% (K9WDOH4D2PYBSLSOB484)	14.35%	15.22%	N/A	N/A	N/A
Numis (213800P3F4RT97WDSX47)	8.24%	4.35%	N/A	N/A	N/A
Investec Securities (84S0VF8TSMH0T6D4K848)	8.07%	6.52%	N/A	N/A	N/A

**Client Type** Retail

**Notification if <1 average trade per business in the previous year**

<b>Top 5 Venues ranked in terms of volume (descending order)</b>	<b>Proportion of volume executed as a percentage of total in that class</b>	<b>Proportion of orders executed as a percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of Aggressive Orders</b>	<b>Percentage of directed orders</b>
Winterflood Securities Limited (8BRUP6V1DX3PIG2R0745)	51.68%	85.25%	N/A	N/A	N/A
Peel Hunt (5493007DWN0R4YBM4C84)	42.33%	13.50%	N/A	N/A	N/A
Barclays Capital (K9WDOH4D2PYBSLSOB484)	2.24%	0.51%	N/A	N/A	N/A
Zeus Capital (213800F6CHS1SEYK1842)	1.32%	0.28%	N/A	N/A	N/A
Investec Securities (84S0VF8TSMH0T6D4K848)	0.73%	0.11%	N/A	N/A	N/A

## RC Brown Investment Management plc RTS28 Top 5 Venue Report to 31/12/2021

Annual disclosure of information required by MiFID II



**Class of Instrument** Av Daily Vol More Than 900C

**Client Type** Professional

**Notification if <1 average trade per business in the previous year**

<b>Top 5 Venues ranked in terms of volume (descending order)</b>	<b>Proportion of volume executed as a percentage of total in that class</b>	<b>Proportion of orders executed as a percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of Aggressive Orders</b>	<b>Percentage of directed orders</b>
Zeus Capital (213800F6CHS1SEYK1842)	33.23%	34.62%	N/A	N/A	N/A
Peel Hunt (5493007DWN0R4YBM4C84)	20.99%	19.23%	N/A	N/A	N/A
Berenberg (529900ZKF1P898Z2HD69)	11.22%	3.85%	N/A	N/A	N/A
Cenkos Securities plc (213800ALVRC52VN3I821)	7.22%	7.69%	N/A	N/A	N/A
Winterflood Securities Limited (8BRUP6V1DX3PIG2R0745)	6.53%	11.54%	N/A	N/A	N/A

**Client Type** Retail

**Notification if <1 average trade per business in the previous year**

<b>Top 5 Venues ranked in terms of volume (descending order)</b>	<b>Proportion of volume executed as a percentage of total in that class</b>	<b>Proportion of orders executed as a percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of Aggressive Orders</b>	<b>Percentage of directed orders</b>
Winterflood Securities Limited (8BRUP6V1DX3PIG2R0745)	49.71%	84.85%	N/A	N/A	N/A
Peel Hunt (5493007DWN0R4YBM4C84)	46.19%	14.26%	N/A	N/A	N/A
Zeus Capital (213800F6CHS1SEYK1842)	1.49%	0.36%	N/A	N/A	N/A
Berenberg (529900ZKF1P898Z2HD69)	0.86%	0.09%	N/A	N/A	N/A
Numis (213800P3F4RT97WDSX47)	0.51%	0.18%	N/A	N/A	N/A

## RC Brown Investment Management plc RTS28 Top 5 Venue Report to 31/12/2021

Annual disclosure of information required by MiFID II



**Class of Instrument** Debt Instruments

**Client Type** Retail

**Notification if <1 average trade per business in the previous year**

<b>Top 5 Venues ranked in terms of volume (descending order)</b>	<b>Proportion of volume executed as a percentage of total in that class</b>	<b>Proportion of orders executed as a percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of Aggressive Orders</b>	<b>Percentage of directed orders</b>
Canaccord Genuity (ZBU7V5NIMN4ILRFC23)	82.15%	25.00%	N/A	N/A	N/A
Peel Hunt (5493007DWN0R4YBM4C84)	15.57%	25.00%	N/A	N/A	N/A
Winterflood Securities Limited (8BRUP6V1DX3PIG2R0745)	2.28%	50.00%	N/A	N/A	N/A

# RC Brown Investment Management plc RTS28 Top 5 Venue Report to 31/12/2021

Annual disclosure of information required by MiFID II



**Class of Instrument** Exchange Traded Products

**Client Type** Professional

**Notification if <1 average trade per business in the previous year**

<b>Top 5 Venues ranked in terms of volume (descending order)</b>	<b>Proportion of volume executed as a percentage of total in that class</b>	<b>Proportion of orders executed as a percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of Aggressive Orders</b>	<b>Percentage of directed orders</b>
Winterflood Securities Limited (8BRUP6V1DX3PIG2R0745)	100.00%	100.00%	N/A	N/A	N/A

**Client Type** Retail

**Notification if <1 average trade per business in the previous year**

<b>Top 5 Venues ranked in terms of volume (descending order)</b>	<b>Proportion of volume executed as a percentage of total in that class</b>	<b>Proportion of orders executed as a percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of Aggressive Orders</b>	<b>Percentage of directed orders</b>
Winterflood Securities Limited (8BRUP6V1DX3PIG2R0745)	92.09%	97.77%	N/A	N/A	N/A
Peel Hunt (5493007DWN0R4YBM4C84)	7.91%	2.23%	N/A	N/A	N/A